

**INDIVIDUAL RETIREMENT ACCOUNT
(IRA)
REQUEST FOR DISTRIBUTIONS**



Complete the **IRA Request for Distributions Form** to request a one time or systematic distribution from your IRA. If you have any questions regarding this form, please call Shareholder Services at 1-800-400-MIDAS (6432).

PART I: INVESTOR INFORMATION (*DENOTES REQUIRED INFORMATION)

Owner's Name* (First, M.I., Last) _____ Date of Birth* _____ Social Security Number* _____

Street Address (Physical Address)* _____ Apartment # _____ City* _____ State* _____ Zip Code* _____

Daytime Phone* _____ Evening Phone _____

Note: Must be a U.S. citizen with a U.S. mailing address.

PART II: BENEFICIARY INFORMATION (COMPLETE ONLY IF REQUESTING A DEATH DISTRIBUTION)

Beneficiary's Name* (First, M.I., Last) _____ Date of Birth* _____ Social Security Number* _____

Street Address (Physical Address)* _____ Apartment # _____ City* _____ State* _____ Zip Code* _____

Daytime Phone* _____ Evening Phone _____

Note: Must be a U.S. citizen with a U.S. mailing address.

PART III: ACCOUNT INFORMATION

Name of Investment	Account Number (if known)
1. Midas Magic	
2. Midas Fund	

PART IV: DISTRIBUTION METHOD AND TYPE

Select either a **One Time** or **Systematic Distribution**. Provide details about the distribution(s) you are requesting to assist us in meeting federal regulations for tax reporting.

One Time Distribution

Traditional (SEP) or SIMPLE IRA Distribution

Please redeem the entire account OR Please redeem \$ _____

I am: at least age 59½ and this is a normal distribution.

under age 59½ and this is a premature distribution; I am aware of the IRS 10% penalty.

If distribution is from a SIMPLE IRA, have at least 2 years elapsed since establishment?

Yes No

Please redeem \$ _____. I am under age 59½; this premature distribution is exempt from the IRS 10% penalty because:

- it will be used to pay medical expenses in excess of 7.5% of my adjusted gross income.
- it will be used to pay medical insurance premiums during a period of unemployment.
- it will be used for qualified higher education expenses.
- it will be used for qualified first time home purchase expenses (\$10,000 lifetime limit).
- it is part of a Substantially Equal Period Payment under Internal Revenue Code 72(t).
- it is a disability as defined under Internal Revenue Code Sec. 72(m)(7).
- it is a direct rollover to an Eligible Employer-Sponsored Plan (Code G).
- it is a death distribution.

Required Minimum Distribution

Please redeem \$ _____ for my Required Minimum Distribution. I am at least 70½ years old.

Please calculate my Required Minimum Distribution according to the new IRS distribution regulations and redeem that amount

One Time or Annually in _____ of each year* or Monthly* or Quarterly*

**(Systematic Distributions are processed on the 25th of each month).*

Spousal. Beneficiary is 10 years or younger. (please provide information).

Name* (First, M.I., Last)

Date of Birth*

Social Security Number*

Return of Excess Contribution Plus Earnings

In what year was the contribution made?

Current Year Prior Year

Excess Contribution Amount: \$ _____

Earnings Attributable to Excess: \$ _____

Convert my Traditional IRA to a Roth IRA

Convert: All Shares Dollar Amount: \$ _____ Percentage: _____%

To: A new Roth IRA (*Complete and enclose an IRA New Account Form*)

My existing Roth IRA

Fund Name

Account Number

PART IV: DISTRIBUTION METHOD AND TYPE-CONTINUED

Roth IRA Distribution – *If this is not a qualified distribution, an IRS penalty applies to the earnings portion of your distribution.*

Has the 5-year holding period been met? Yes No/Don't Know

Please redeem the entire account OR Please redeem \$ _____

I am: at least age 59½ and this is a normal distribution.

under age 59½ and this is a premature distribution; I am aware of the IRS 10% penalty.

Please redeem \$ _____. I am under age 59½; this premature distribution is exempt from the IRS 10% penalty because:

- it will be used to pay medical expenses in excess of 7.5% of my adjusted gross income.
- it will be used to pay medical insurance premiums during a period of unemployment.
- it will be used for qualified higher education expenses.
- it will be used for qualified first time home purchase expenses (\$10,000 lifetime limit).
- it is part of a Substantially Equal Period Payment under Internal Revenue Code 72(t).
- it is a disability as defined under Internal Revenue Code Sec. 72(m)(7)
- it is a death distribution.

Recharacterize my Contribution

Recharacterize my contribution of \$ _____ for tax year _____ from my Roth account.

- To: A new Traditional IRA (*Complete and enclose an IRA New Account Form*)
 My existing Traditional IRA

Fund Name

Account Number

Recharacterize my Conversion

Recharacterize my Conversion for tax year _____

- To: A new Traditional IRA (*Complete and enclose an IRA New Account Form*)
 My existing Traditional IRA

Fund Name

Account Number

All shares will be recharacterized unless you enter a specific dollar amount \$ _____

Return of Excess Contribution Plus Earnings

In what year was the contribution made?

- Current Year Prior Year

Excess Contribution Amount: \$ _____

Earnings Attributable to Excess: \$ _____

PART V: DISTRIBUTION INSTRUCTIONS

I wish to withdraw my entire account balance.

I wish to make a one-time, partial withdrawal of \$_____.

I wish to withdraw the requested amount on a pro rata basis across all investments.

I wish to withdraw the requested amount from my investments as indicated in the chart below.

(Indicate from which investments the withdrawal should be taken. Percentages must be in whole numbers, e.g., 33%, not 33 1/3%.)

Whether you provide the amount of your distributions or choose to have the amount calculated, please complete the Frequency of Distributions section. Systematic Distributions continue indefinitely unless you request cancellation.

Set Up Systematic Withdrawal for the Amount I Have Determined

I wish to set up systematic withdrawals* in the amount of \$_____ on a Monthly Quarterly Semi-Annual Annual basis.

I wish to withdraw the requested amount on a pro rata basis across all investments.

I wish to withdraw the requested amount from my investments as indicated in the chart below.

(Indicate from which investments the withdrawal should be taken. Percentages must be in whole numbers, e.g., 33%, not 33 1/3%.)

These are: Normal Distributions Distributions Due to Disability

Substantially Equal Periodic Payments that are exempt from the IRS 10% penalty under RS Code 72(t).

Special Election Options for Those 70-1/2 or Older:

I wish to make a one-time withdrawal of my required minimum distribution for (indicate year)_____.

I wish to have my required minimum distribution for (indicate year)_____ calculated by the Trustee/Custodian and distributed to me in equal installments on a Monthly Quarterly Semi-Annual Annual basis.

(If Annual is selected, indicate the month in which you would like the distribution processed:_____.)

I wish to have my required minimum distribution for (indicate year)_____ and all future years calculated by the Trustee/Custodian and distributed to me in equal installments on a Monthly Quarterly Semi-Annual Annual basis.

(If Annual is selected, indicate the month in which you would like the distribution processed:_____.)

Name of Investment	Withdrawal Amount or %
	\$_____ or _____%

PART VI: PAYMENT INSTRUCTIONS

** Denotes that a **New Technology Medallion Signature Guarantee Stamp** is required.

By Mail

Mail check(s) to the address of record

Make check(s) payable to someone other than the account owner (Indicate payee below)**

Make check payable to: _____

Mail check to an address other than the one on the account (Provide address below)**

Street Address (Physical Address)* Apartment # City* State* Zip Code*

PART VI: PAYMENT INSTRUCTIONS-CONTINUED

Send to My Bank

Send distributions to my bank by Automated Clearing House (ACH) based on the:

ACH instructions already established for my IRA **OR** Bank Account Information below **

Wire transfer my One Time Distribution (not available for Systematic Distributions) to my bank based on the:

Bank instructions already established for my IRA **OR** Bank Account Information below **

PAYMENT METHOD

I authorize the Custodian to withdraw money from my mutual fund IRA and deposit to my bank account. I understand this privilege will be effective after the verification process.

Attach a voided check for your bank account.

Account Type: Checking Savings

John and Jane Doe 123 Any Street Anytown, USA 12345	Date _____	1003
PAY TO THE ORDER OF _____	Tape your voided check or preprinted deposit slip here. Please do <u>not</u> use staples.	_____ \$ _____ _____ DOLLARS
BANK NAME BANK ADDRESS		
MEMO _____		

Enter your checking or savings account information:

Name: _____

Name of Bank: _____

Bank's Phone Number: _____

Bank Address: _____

ABA Routing Number: _____

City: _____

State: _____

Zip Code: _____

Name(s) on Bank Account: _____

Bank Account Number: _____

*** Shareholder Services transfers your assets two business days before the date on which you want them credited to your bank account. On the first day, we initiate a withdrawal from your IRA account. On the second day, we instruct the Custodian to transfer the appropriate assets to the Automated Clearing House (ACH). The ACH then transfers the assets to your bank. On the third day, the assets are credited to your bank account.*

PART VII: WITHHOLDING NOTICE AND ELECTION

Form W-4P OMB No. 1545-0415
Dept. of Treasury, Internal Revenue Service

Notice: The distributions you receive from your IRA are subject to federal income tax withholding unless you waive withholding. Generally, federal income tax withholding applies to the taxable part of your IRA distribution. Thus, for example, there will be no withholding on the return of your own nondeductible contributions from your Traditional IRA. You may waive withholding on your IRA distribution by signing and dating the IRS Form W-4P, *Withholding Certificate for Pension or Annuity Payments*, or this substitute Form W-4P and returning it to your IRA Trustee or Custodian listed above. If you waive withholding on your IRA distribution, or if you do not have enough federal income tax withheld from your IRA distribution, you may be responsible for payment of estimated tax. You may incur penalties under the estimated tax rules if your withholding and estimated tax payments are not sufficient. You may waive state income tax withholding to the extent permitted by law. You are responsible for determining and paying all federal, and if applicable, state and local taxes on distributions from all IRAs you own. If you do not waive withholding, ten percent will be withheld from your IRA distribution. Your election is valid until you revoke it. You may change your withholding election by completing another Form W-4P or substitute. Also note, if you are a U.S. citizen or resident alien receiving an IRA distribution that is delivered outside the United States or its possessions, withholding is required. That is, you may not waive withholding. If you are a non-resident alien you may not use Form W-4P to withhold income tax or to waive withholding. A foreign person should refer to IRS Publication 515 and to Form W-8BEN.

Election: Unless a previous withholding election is in place, you indicate a different withholding amount below, or you waive withholding by indicating your election below, ten percent will be withheld from you IRA distribution. Effective Date: _____

If no election is made, we are **REQUIRED** to withhold 10% Federal Income Tax.

- Do NOT withhold federal income tax from my distribution.
- Withhold as indicated below

_____ % (at least 10%) federal income tax, and _____ additional amount (if indicated).

IMPORTANT: If your address on record is a P.O. Box, we are required by law to withhold Federal Income Tax at the automatic rate unless we are provided with a residence address below:

Street Address (Physical Address)* Apartment # City* State* Zip Code*

PART VIII: ACKNOWLEDGEMENT AND NEW TECHNOLOGY MEDALLION SIGNATURE GUARANTEE STAMP

By signing this *IRA Distribution Request Form*, I certify that the information I have provided is true and correct, and I authorize the Trustee/Custodian to distribute my IRA as instructed above. I understand that I am responsible for ensuring I am eligible to authorize this distribution and I assume all responsibility for any consequences as a result of my actions. I will indemnify and hold the Trustee/Custodian harmless from any consequences related to executing my instructions, including payments made in error. I have been advised to seek competent legal and tax advice, and have not been provided any such advice from the Trustee/Custodian. I also understand that if this distribution involves a SIMPLE IRA, special rules apply, and I assume responsibility for my actions regarding those issues.

Signature of IRA Owner (or other authorized person): X _____ **Date:** _____

***Note:** Please sign your name exactly how it appears in the registration.

A New Technology Medallion Signature Guarantee Stamp is designed to protect the account from fraud.

The following institutions are acceptable signature guarantors:

- Participants in good standing of the Securities Transfer Agents Medallion Program (“STAMP”)
- Commercial banks which are members of the Federal Deposit Insurance Corporation (“FDIC”)
- Trust Companies
- Firms which are members of a domestic stock exchange
- Eligible guarantor institutions qualifying under Rule 17Ad-15 of the Securities Exchange Act of 1934, as amended, that are authorized by charter to provide new technology medallion signature guarantee stamps (e.g., credit unions, securities dealers and brokers, clearing agencies and national securities exchanges)
- Foreign branches of any of the above

Note: The Transfer Agent cannot honor guarantees from notaries public, savings and loan associations, or saving banks.



MAILING INSTRUCTIONS

Please send completed form to:

Regular Mail Delivery

Midas Funds
Box 46707
Cincinnati, OH 45246-0707

Overnight Delivery

Midas Funds
225 Pictoria Drive, Suite 450
Cincinnati, OH 45246

PRIVACY POLICY

FACTS	WHAT DOES MIDAS DO WITH YOUR PERSONAL INFORMATION?
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Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.
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What?	<p>The types of personal information we collect and share depend on the product or service you have with us. This information can include:</p> <ul style="list-style-type: none"> <li style="display: inline-block; width: 45%;">▪ Social Security number <li style="display: inline-block; width: 45%;">▪ Account transactions <li style="display: inline-block; width: 45%;">▪ Account balances <li style="display: inline-block; width: 45%;">▪ Retirement assets <li style="display: inline-block; width: 45%;">▪ Transaction or loss history <li style="display: inline-block; width: 45%;">▪ Checking account information <p>When you are <i>no longer</i> our customer, we continue to share your information as described in this notice.</p>
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How?	All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons Midas chooses to share; and whether you can limit this sharing.
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Reasons we can share your personal information	Does Midas share?	Can you limit this sharing?
For our everyday business purposes – such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes – to offer our products and services to you	Yes	No
For joint marketing with other nonaffiliated financial companies	No	We don't share
For our affiliates' everyday business purposes – Information about your transactions and experiences	No	We don't share
For our affiliates' everyday business purposes – Information about your creditworthiness	No	We don't share
For our affiliates to market to you	Yes	Yes
For nonaffiliates to market to you	No	We don't share

To Limit Sharing	<ul style="list-style-type: none"> ▪ Call Midas at 212-785-0900 – our menu will prompt you through your choices; or ▪ Mail the form below <p>Please note:</p> <p>If you are a new customer, we can begin sharing your information 30 days from the date we sent this notice. When you are <i>no longer</i> our customer, we continue to share your information as described in this notice. However, you can contact us at any time to limit our sharing.</p>
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Questions?	Call 1-800-400-MIDAS (6432) or go to www.midasfunds.com
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Mail-in Form		
<p>Leave Blank or [If you have a joint account, your choice will apply to everyone on your account unless you mark below.]</p> <p><input type="checkbox"/> Apply my choice only to me]</p>	<p>Mark if you want to limit:</p> <p><input type="checkbox"/> Do not allow your affiliates to use my personal information to market to me.</p>	
Name		<p>Mail to: Midas Funds 11 Hanover Square, 12th Floor New York, NY 10005</p>
Address		
City, State, Zip		
Account #		

Who we are

Who is providing this notice?

Midas: Midas Fund and Midas Magic, each a series of Midas Series Trust, and Midas Securities Group, Inc.

What we do

How does Midas protect my personal information?

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.

How does Midas collect my personal information?

We collect your personal information, for example, when you

- Open an account
- Buy securities from us
- Provide account information
- Give us your contact information
- Pay us by check

Why can't I limit all sharing?

Federal law gives you the right to limit only

- Sharing for affiliates' everyday business purposes – information about your creditworthiness
- Affiliates from using your information to market to you
- Sharing for nonaffiliates to market to you

State laws and individual companies may give you additional rights to limit sharing.

What happens when I limit sharing for an account I hold jointly with someone else?

Your choices will apply to everyone on your account – unless you tell us otherwise.

Definitions

Affiliates

Companies related by common ownership or control. They can be financial and nonfinancial companies.

- *Midas shares with our affiliates.*

Nonaffiliates

Companies not related by common ownership or control. They can be financial and nonfinancial companies.

- *Midas does not share with nonaffiliates so they can market their financial products or services to you.*

Joint marketing

A formal agreement between nonaffiliated financial companies that together market financial products or services to you.

- *Midas does not jointly market.*